

## Formal Decisions taken by Emergent Board of Directors

*Last updated on September 27, 2024*

Number	Resolution	Adoption Date
2024 - 03	Formally elect Werner Baumann and Joaquim Levy to Emergent's Board of Directors to serve full Board terms	09/27/2024
2024 - 02	Appoint Werner Baumann to Emergent's Board of Directors	03/23/2024
2024 - 01	Approve Modern Slavery Statement 2023	03/23/2024
2023 - 07	Approve re-election of Mahua Acharya and Peter Knight to serve second Board terms, from 1 January 2024 through 31 December 2026	12/13/2023
2023 - 06	Authorize signing two multi-year loan agreements with ZOMA LAB, each to support operations and advance payment facility	10/31/2023
2023 - 05	Approve extending invitation to Board candidate to join Emergent Board	9/22/2023
2023 - 04	Approve terms to enter two multi-year loan agreements to support operations and advance payment facility	9/22/2023
2023 - 03	Approve Contracting and Signature Authority for certain officers and employees	6/21/2023
2023 - 02	Approve Modern Slavery Statement 2022	3/15/2023
2023 - 01	Approve Board Committee Terms of Reference: <ul style="list-style-type: none"> <li>▪ Finance &amp; Audit</li> <li>▪ Fundraising &amp; Development</li> <li>▪ Governance</li> <li>▪ Operations &amp; Risk</li> </ul>	3/15/2023
2022 - 05	Approve policies on: <ul style="list-style-type: none"> <li>▪ Anti-Money Laundering Policy</li> <li>▪ Community Concern and Reporting Policy</li> <li>▪ Conflict of Interest Policy</li> <li>▪ Global Economic Sanctions Policy</li> <li>▪ Policy Regarding Bribery and Corruption</li> </ul>	12/27/2022
2022 - 04	Appointment of officers: <ul style="list-style-type: none"> <li>▪ President: Eron Bloomgarden</li> <li>▪ Secretary: Amybeth Garcia-Bokor</li> <li>▪ Treasurer: Katherine Zamsky</li> </ul>	12/07/2022

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2022 - 03	Create of Board subcommittees: <ul style="list-style-type: none"> <li>▪ Finance &amp; Audit</li> <li>▪ Fundraising &amp; Development</li> <li>▪ Governance &amp; Nominations</li> <li>▪ Operations &amp; Risk</li> </ul>	12/07/2022
2022 - 02	Initiate three-year staggered Board terms per Emergent's bylaws.	12/07/2022
2022 - 01	Election of: <ul style="list-style-type: none"> <li>▪ Board Chair – Mahua Acharya</li> <li>▪ Board member – Naoko Ishii</li> </ul>	04/06/2022
2020 - 03	Election of Board member: Nathaniel Keohane	11/03/2020
2020 - 02	Authorize opening an account on the Architecture for REDD+ Transactions (ART) Registry.	05/14/2020
2020 - 01	Approve formation of a working group to consider engaging resellers and hold a separate call to discuss this topic.	02/19/2020
2019 - 05	Election of Board member: Richard Kauffman	12/20/2019
2019 - 04	Approve updates to the Bylaws.	09/25/2019
2019 - 03	Approve tracking of progress through the GDI strategic planning document.	09/25/2019
2019 - 02	Rectification of the process for recruiting Board members.	09/25/2019



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2019 - 01	<p>Approval of nine Resolutions:</p> <ul style="list-style-type: none"><li>▪ Adoption of Our Mission and Principles.</li><li>▪ Adoption of Emergent's Bylaws.</li><li>▪ Appointment of Officers, with Director Bernasconi serving as Chair, Director Knight serving as Secretary, Director Acharya serving as Treasurer, and Eron Bloomgarden serving as President.</li><li>▪ Engagement of the Executive Director, engaging Eron Bloomgarden to serve that role.</li><li>▪ Opening of Emergent Bank Account.</li><li>▪ Emergent Bank Signing Authority, granting the Board Chair and Executive Director fiscal signing authority for the organization.</li><li>▪ Emergent Contract Signing Authority, granting the Board Chair and Executive Director the authority to legally bind Emergent through written agreements.</li><li>▪ Adopting New Governance Policies.</li><li>▪ Setting Emergent's Fiscal Year from January 1 to December 31.</li></ul>	08/01/2019

